



SPECIAL INSTITUTE ON

International Mining and Oil & Gas Law, Development, and Investment

April 26-28, 2017

Swissôtel Quito, Quito, Ecuador

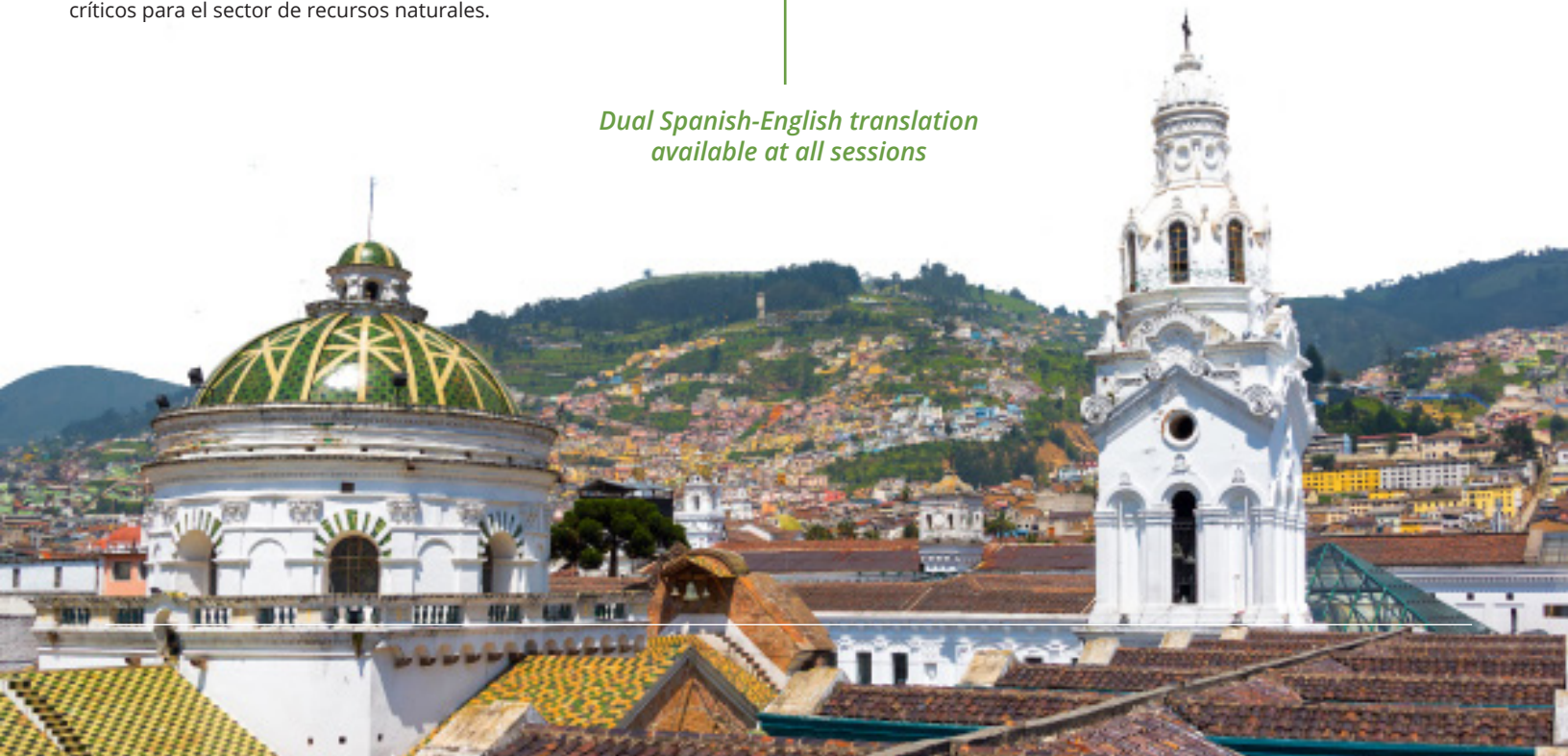
Únase a la comunidad internacional de destacados abogados especialistas en recursos naturales y otros profesionales en la decimocuarta edición del Instituto Especial de Derecho Internacional de Minería, Petróleo y Gas, Desarrollo e Inversión que tendrá lugar en Quito, Ecuador, organizado por la Rocky Mountain Mineral Law Foundation en colaboración con la International Bar Association Sección de Energía, Medio Ambiente, Recursos Naturales e Infraestructura (SEERIL) y el Foro Regional Latinoamericano (LARF).

Existen importantes acontecimientos que siguen afectando al sector financiero, a los mercados de materias primas, y a las relaciones entre empresas, gobiernos y comunidades, y este instituto abordará una amplia gama de asuntos jurídicos y temas relacionados con inversiones que son de interés para abogados, empresas, gobiernos y otros actores involucrados en los recursos naturales. Siendo una nueva ubicación para el Instituto, Quito se está convirtiendo en un importante centro de petróleo, gas, y minería. Únase a nosotros en esta histórica ciudad para un evento que proporcionará una oportunidad única para aprender y compartir experiencias con colegas de todo el mundo sobre temas críticos para el sector de recursos naturales.

Join the global community of leading natural resources attorneys and other professionals at the 14th International Mining and Oil & Gas Law, Development, and Investment Special Institute in Quito, Ecuador, organized by the Rocky Mountain Mineral Law Foundation, in cooperation with the International Bar Association Section on Energy, Environment, Natural Resources, and Infrastructure Law (SEERIL) and the Latin American Regional Forum (LARF). Major developments are impacting the financial sector, commodity markets, and the relationships among companies, governments, and communities. This timely institute will address a broad range of important legal and investment-related issues for attorneys, companies, governments, and others involved in international natural resource development.

A new location for the institute, Quito is increasingly becoming an important hub for Latin American oil, gas, and mining transactions. Join us in this historic city for an institute that will provide a unique opportunity to learn and share expertise with colleagues from around the world on issues critical to the natural resources sector.

*Dual Spanish-English translation
available at all sessions*



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COURSE MATERIALS

All available papers, PowerPoint presentations, and speaker biographies will be accessible to registrants on the Foundation's website starting two weeks before the meeting. After the conference, the online materials will be updated, and the full set of materials will also be made available to download in a single file. If you wish to obtain a hard copy of these materials in our typical looseleaf format, it will be available at cost, plus shipping, a few weeks after the conference. If you have any questions, please email us at info@rmmif.org.

DAY 1: Wednesday, April 26, 2017

7:30 am – 9:00 am: Registration

9:00 am – 9:30 am: Introductions

STEVIA M. WALTHER, Executive Director, Rocky Mountain Mineral Law Foundation, Westminster, Colorado

FLORENCIA HEREDIA, Council Member IBA-SEERIL; Partner, HOLT Abogados, Buenos Aires

IGNACIO RANDLE, Program Chair, Estudio Randle, Buenos Aires

JAVIER CÓRDOVA UNDA, Minister of Mines, Republic of Ecuador, Quito

9:30 am – 10:00 am: Keynote Address

JOSÉ CARLOS UGAZ, Chairman, Transparency International, Lima

GENERAL SESSION

10:00 am – 11:00 am: New Resource Revenue Transparency Legislation: An Emerging Global Consensus

A diverse panel of experts will discuss recent developments in resource extraction disclosure legislation and the emerging global consensus to increase transparency. The speakers will focus on practical considerations for reporting companies in the resource extraction sector and solutions for navigating these challenges, including critical insights on how the information reported is analyzed and used.

JOSÉ CARLOS UGAZ, Chairman, Transparency International, Lima

JOSHUA A. JANTZI, Partner, Dentons, Calgary

DAVID L. DEISLEY, Executive Vice President and General Counsel, NovaGold Resources Inc., Salt Lake City, UT

STUART M. OLLEY, Partner, Gowling WLG, Calgary

2:00 pm – 2:45 pm: BITs in the Background

Bilateral investment treaties, or BITs, offer important legal protections to foreign investors in the resource sector, but are generally perceived as a tool of last resort. Launching legal proceedings carries significant risks and costs for claimant investors, and may foreclose other investment opportunities in the respondent State. What other benefits do BITs offer foreign investors in the resource sector? How do BITs change the dynamics of negotiations, operations, and the relationship with the State? How can miners effectively wield the right to make a treaty-based claim, and how do States typically work to manage this risk?

JORGE PAZ DURINI (Moderator), Partner, Paz Horowitz, Quito

ROBERT J.C. DEANE, Partner, Borden Ladner Gervais LLP, Vancouver

MARK W. FRIEDMAN, Partner, Debevoise & Plimpton, New York

LUIS A. ERIZE, Partner, Abeledo Gottheil Abogados, Buenos Aires

11:00 am – Noon: Advising Clients Doing Business During a Corruption Investigation—A Simulation and Review of Ethical Considerations

What are the risks when entering a major business transaction with an entity involved in an ongoing multi-jurisdictional corruption investigation, and what tools are available to mitigate those risks? What ethical issues may be encountered by counsel advising the various participants? The format will be a simulation of a not entirely hypothetical set of facts as viewed through the lens of different participants in the proposed transaction. The simulation will highlight inherent conflicts of interest, confidentiality, and legal privilege concerns, and will examine and discuss the considerations and potential actions that can be taken. Reference will be made to the ABA Model Rules.

MICHAEL CULLEN, General Manager, Control Risks, Bogotá

CYNTHIA URDA KASSIS, Partner, Shearman & Sterling LLP, New York

DANFORTH NEWCOMB, Of Counsel, Shearman & Sterling LLP, New York

MANUEL A. ORILLAC, Partner, Shearman & Sterling LLP, New York

2:45 pm – 3:30 pm: Valuing Natural Resource Projects in International Investment Arbitration

International investment tribunals have traditionally displayed reluctance to value a project without a history of operations based on future lost profits. In more recent cases, however, damages have been increasingly awarded on this basis. Tribunals have adopted a myriad of valuation methods to value mining projects ranging from discounted cash flow analyses (*Gold Reserve v. Venezuela*) to comparables (*Crystallex v. Venezuela*) to offers to purchase (*Khan Resources v. Mongolia*). This panel will discuss questions such as: what valuation methods should be used in investment disputes, how well equipped are tribunals to address issues of valuation, and what can be done to fix information gaps and asymmetries? The relevance of these issues is all the more important given the numerous high-profile mining and oil & gas cases currently in the pipeline.

CHRISTINA L. BEHARRY (Moderator), Senior Associate, Foley Hoag, Washington, DC

CARLA CHAVICH, Vice President, Compass Lexecon, New York

DANIEL FLORES, Managing Director, Econ One Research, Inc., Washington, DC

3:30 pm – 4:00 pm: Hosted Refreshment Break

Noon – 2:00 pm: Lunch – On Your Own

International Mining and Oil & Gas Law, Development, and Investment

4:00 pm – 5:00 pm: Climate Change—Advising Natural Resources Industry Clients

What do lawyers need to know when counseling mining and oil & gas company clients about the practical implications of climate change? From climate-related lawsuits to consequences of inadequate climate change-related disclosures in SEC filings to the impacts of the Paris Agreement, companies face a climate change regulatory environment that is in flux. This session will focus on the climate change regulatory and policy challenges faced by natural resource companies, including direct limitations on greenhouse gas emissions, reporting potential liabilities from extreme weather events and other climate-change related occurrences, reconfiguring transportation and supply chain systems, realigning energy generation and transmission to accommodate low-carbon and renewable energy projects, changes required by consumers of natural resources, and inclusion of climate change impacts in pre-approval environmental review and public participation processes for natural resources projects.

PEDRO FREITAS (Moderator), Partner, Veirano Advogados, Rio de Janeiro

JAMES A. HOLTkamp, Partner, Holland & Hart, Salt Lake City, UT

LES E. LO BAUGH, JR., President, E Cubed Optimizers LLC, Murrieta, CA

5:00 pm – 6:00 pm: The New Frontier for Energy Generation: Challenges and Opportunities of Renewables in Latin America and the Impact on Resource Projects

The 21st century brought with it a new revolution that will continue to impact human beings and the environment on a daily basis: the green revolution. This revolution has impacted energy-generation activities more heavily than in other areas, and all around the globe countries are researching and implementing renewable sources for energy generation. Latin America is no stranger to this trend and many jurisdictions have established goals and incentives for the design, construction, and operation of renewable energy generation alternatives. The mining and oil & gas industries are adjusting to these new requirements under increasing pressure from public opinion, investors, and governments. This presentation will analyze the regulatory frameworks of Argentina, Brazil, Chile, and Uruguay; the impact of foreign and multilateral regulations and policies affecting investors in the resources industries; the practical results so far; and the challenges ahead.

STUART R. BUTZIER (Moderator), Shareholder, Modrall Spering, Santa Fe, NM

NICOLÁS CASTELLANO GARD, Partner, Castellano Carlevaro Asociados, Montevideo

MARÍA PAZ CERDA, Of Counsel, Bofill, Mir & Alvarez Jana, Santiago

TIAGO K. FIGUEIRO, Veirano Advogados, São Paulo

ALEJANDRO M. MASSOT, Partner, Estudio Randle, Buenos Aires

6:00 pm – 7:30 pm – Hosted Reception for Registrants, Speakers, and Guests

Swissôtel (hosted by the Rocky Mountain Mineral Law Foundation)

Things to do in and around Quito

- For recommendations from our Host Committee members, click [here](#)
- Discover the highest capital in the world, founded in the 16th century on the ruins of an Inca city in the Andes 2,850 meters above sea level (come early to acclimatize).
- Stroll through Old Town, the best-preserved historic center in Latin America and the first UNESCO World Cultural Heritage Site capital city.
- Visit the Basílica del Voto Nacional, the largest neo-Gothic basilica in the Americas, with gargoyles in the form of pumas, monkeys, penguins, tortoises, and condors.
- Ride the TelefériQo cable car and hike to Volcano Pichincha.
- Take a taxi to the top of El Panecillo for an amazing 360° view of Quito.
- In the suburb of La Mariscal, dance to salsa, ska, merengue, and bachata.
- Join a free walking tour Monday – Friday with CarpeDM Adventures.
- Visit the museum “Capilla del Hombre,” the work of Oswaldo Guayasamin, one of Ecuador’s most recognized artists.
- Take a hop-on hop-off bus ride around Quito (<http://quitotourbus.com> – <http://www.casa1028.com>).
- Travel to the middle of the earth (about 60 minutes from Quito), the equator where the northern and southern hemispheres meet.
- View quetzals and spectacled bears in the stunningly beautiful cloud forest just north of Quito.
- Ascend a snow-capped volcano using crampons and an ice ax.
- Discover diverse wildlife in the Ecuadorian Amazon rainforest with Huaorani guides, some of the last hunter-gatherers on the planet.
- And, of course, visit the incredible Galapagos Islands!

Interested in a day tour? A cruise of the Galapagos Islands? Two tour companies that are highly rated on social media:

EQ Touring operates Galapagos Luxury Cruises and Tours, and is offering our delegates a 10% discount if booked directly – www.eqtouring.com. Contact Isabel Calles at icalles@eqtouring.info or +593 333-3718 (Quito); +1 347-721-9006.

CarpeDM Adventures, specializing in day tours, Amazon & Galapagos, (offering free walking tours of the city), is offering our delegates a 10% discount if booked directly – www.carpedm.travel. Contact Paul Vivas at paul@carpedm.ca or +593 (0)2 295-4713.

You may also visit <http://www.quito.com.ec/en/the-city/touristic-information> for additional tourism information about Quito.

DAY 2: Thursday, April 27, 2017 - MORNING SPLIT SECTIONS

MINING SECTION

9:00 am – 10:30 am: Fruta del Norte & the Evolution of Ecuadorian Mining Law

Fruta del Norte is one of the largest undeveloped gold deposits discovered in the last 10 years. This presentation will review how Ecuadorian mining policy and regulations impact project development, and will address recent government initiatives for attracting foreign mining investment. What challenges have there been for developing, financing, building, and operating the first large-scale gold mining operation in Ecuador?

MICHAEL J. BOURASSA (Moderator), Partner, Fasken Martineau DuMoulin LLP, Toronto

JAVIER CÓRDOVA UNDA, Minister of Mines, Republic of Ecuador, Quito

RODRIGO BORJA CALISTO, Partner, Lexim Abogados, Quito

JERÓNIMO CARCELÉN PACHECO, Partner, Carcelén, Desmadryl, Guzmán & Tapia, Santiago / International Visiting Advisor, Dechert LLP, Washington, DC

RON HOCHSTEIN, President and CEO, Lundin Gold Inc., Quito and Vancouver

PABLO MIR, Partner, Bofill Mir and Alvarez Jana, Santiago

10:30 am – 11:00 am: Understanding the SEC's Proposed New Mining Disclosure Rules

The U.S. Securities and Exchange Commission recently released the long-awaited Federal Register version of its proposed rule to update disclosure requirements for mining registrants in Item 102 of Regulation S-K under the Securities Act of 1933, the Securities Exchange Act of 1934, and related guidance in Industry Guide 7. The proposed rule is intended, according to SEC Chair Mary Jo White, "to modernize the disclosure requirements by aligning them with global standards and give investors more comprehensive information of a registrant's mining properties that they can use to make informed investment decisions." This session will assess the practical implications of the rule.

PATRICIA NUÑEZ (Moderator), Partner, Nunez, Munoz & Cia Ltda., Abogados, Santiago

WILLIAM B. PRINCE, Partner, Dorsey & Whitney, Salt Lake City

BERNARD J. GUARNERA, Director, Behre Dolbear, Denver, CO

11:00 am – 11:30 am: Hosted Refreshment Break

11:30 am – 12:30 pm: Use of Rocky Mountain Mineral Law Foundation's Form 5 in Civil Law Jurisdictions—What to Watch Out For

Common law and civil code jurisdictions deal with rights and obligations under a contract in significantly different ways. This presentation will review Form 5 and identify clauses that may be pitfalls in civil law jurisdictions and how they can be made to conform with civil law.

PETER L. WEBSTER (Moderator), General Counsel U.S., Barrick, Salt Lake City, UT

R. CRAIG JOHNSON, Shareholder, Parsons Behle & Latimer, Salt Lake City, UT

CARLOS VILHENA, Partner, Pinheiro Neto Advogados, Brasilia

12:30 pm – 2:30 pm: Lunch – On Your Own

OIL & GAS SECTION

9:00 am – 10:00 am: Structuring LNG to Power Projects in Latin America

LNG is an increasingly important source of energy in Latin America. This session will analyze the relevant contracts and related legal issues, the commercial benefits of low natural gas prices from U.S. LNG exports, the structuring requirements of an import terminal—onshore or floating—and the requirements of legal agreements to ensure the successful implementation of a bankable LNG to power project.

PEDRO SERRANO ESPELTA (Moderator), Partner, Marval, O'Farrell & Mairal, Buenos Aires

VERA DE BRITO DE GYARFAS, Partner, King & Spalding, Houston, TX

10:00 am – 11:00 am: Oil & Gas Development in a Global Perspective—Social License to Operate in Host Communities

The need for securing a "social license to operate" (SLO) in the extractive industries has experienced a mixed reception. While the mining industry embraced the concept years ago, the oil & gas sector is coming to embrace it today. This presentation will focus on how the concept has been received in the oil & gas industry, with emphasis on the developing world. Despite years of trying to be better "corporate citizens" and adopting various corporate social responsibility strategies, many oil & gas companies find themselves deeply unpopular where they operate. This presentation will define SLO, consider the differing receptions in the mining and oil & gas sectors, discuss the "elements" of SLO in the oil & gas sector, provide case studies of where it has (and has not) been achieved, and propose a strategy for integrating SLO into the oil & gas industry.

XENNIA FORNO (Moderator), Partner, Rubio Leguia Normand, Lima

JESSICA RICHARDS, Lecturer, Western State Colorado University, Gunnison, CO

11:00 am – 11:30 am: Hosted Refreshment Break

11:30 am – 12:30 pm: How Recent Judicial Decisions from the High Courts Impact Oil & Gas Activities

Recent judicial decisions adopted by the highest courts concerning environmental matters and social consultation are raising concerns in the oil & gas industry as they bring uncertainty about the ability to perform contracts and licenses originally negotiated with governments. These decisions also impact corporate business models and budgets, and this presentation will cover these issues across Latin America.

RAFAEL VERGARA, (Moderator), Partner, Carey y Cia Ltda., Santiago

GERMÁN VILLAMIL-PARDO, Partner, Gómez-Pinzón Zuleta Abogados S.A.S. Bogotá

12:30 pm – 2:30 pm: Lunch – On Your Own

DAY 2: Thursday, April 27, 2017 - AFTERNOON SPLIT SECTIONS

MINING SECTION

2:30 pm – 3:30 pm: Potential for Shared Infrastructure in the Mining Sector—What Lawyers Should Know

Significant potential exists for shared infrastructure (rail, port, water, power) in the mining sector, with the advantage of reduction in capital cost investment for developers, benefits to indigenous business (agriculture, small manufacturing), and the possibility of allowing otherwise “stranded resources” to be developed. This presentation will review recent examples in Africa, Australia, and elsewhere, and discuss the legal issues pertaining to shared infrastructure transactions.

FLORENCIA HEREDIA (Moderator), Partner, HOLT Abogados, Buenos Aires

WARREN BEECH, Partner, Hogan Lovells, Johannesburg

FRANCISCO J. VALDIVIESO, Partner, Valdivieso, Gutiérrez & Manríquez, Santiago

3:30 pm – 3:50 pm: Hosted Refreshment Break

3:50 pm – 4:50 pm: Enforceability of Royalties Under Latin American Legislation

For decades, royalties have been used in mining transactions in Latin America. However, civil law does not recognize royalties as right *in rem*, but only as right *in personae*. The legal nature of royalties under civil law must be understood in order to determine enforceability. Royalties will be enforced where the goods are located, and therefore under local legislation. Focusing on Chile, Colombia, and Peru, this presentation will address the origin and legal nature of royalties, applicable laws and regulations, consequences of conflicting legal systems, local enforceability, and recommendations for obtaining an enforceable royalty.

JUAN PAULO BAMBACH (Moderator), Partner, Philippi Prietocarrizosa Ferrero DU & Uría, Santiago

SANDRA MANRIQUE, Partner, Philippi Prietocarrizosa Ferrero DU & Uría, Bogotá

MARÍA PAZ PULGAR, Attorney, Philippi Prietocarrizosa Ferrero DU & Uría, Santiago

HERNÁN TORRES, Philippi Prietocarrizosa Ferrero DU & Uría, Lima

ADRIANO D. TRINDADE, Of Counsel, Pinheiro Neto Advogados, Brasília

4:50 pm – 5:50 pm: The Rise of Brine: Exploration and Development Challenges in the Lithium Triangle—Argentina, Bolivia, and Chile

The latest hike in lithium products prices, due to prospective demand from Tesla and similar manufacturers, has created a flow of investment and speculation in the “lithium triangle” that comprises Chilean, Bolivian, and Argentinean territories. Regulatory challenges arising from issues such as sustainable development, national energy policies, and local expectations are increasingly developing there and in the U.S. This panel will address each country’s regulations, bi-national collaboration, and future challenges.

PAUL FORNAZZARI (Moderator), Partner, Fasken Martineau DuMoulin LLP, Toronto

FERNANDO AGUIRRE, Partner, Bufete Aguirre, La Paz

PAMELA BÓRQUEZ ASTUDILLO, Attorney, CORFO, Santiago

IGNACIO H. CELORRIO, Partner, Quevedo Abogados, Buenos Aires

TERRY HAMMONS, Vice President, Deputy General Counsel, Corporate and Chief Regional Counsel, Albemarle Corporation, Charlotte, NC

OIL & GAS SECTION

2:30 pm – 3:30 pm: Joint Operating Agreements—Host Government Practices

To what extent should host governments interfere with the negotiation and conclusion of a JOA? For instance, should governments require submission of the negotiated JOA for information only, or the submission of the negotiated JOA for approval, or issue their own standard JOA model form? Or, should the participation of the national oil company in the joint venture satisfy the need for governmental oversight for resource management purposes?

JUAN CARLOS SERRA (Moderator), Partner, Basham, Ringe y Correa, S.C., Mexico City

MIGUEL STRAUSS, Chief Counsel - Mergers, Acquisitions & Divestments / Asesor Legal México, Statoil, Houston

EDUARDO G. PEREIRA, Counsel, Mattos Filho, Veiga Filho, Marrey Jr. e Quiroga Advogados, Rio de Janeiro; Adjunct Professor, University of Eastern Finland, Joensuu, Finland.

3:30 pm – 3:50 pm: Hosted Refreshment Break

3:50 pm – 5:50 pm: Evolution of Hydrocarbon Contracting Schemes in Latin America

Panelists will compare legislation and contracts within Latin America. Starting with an overview of model concession contracts in different jurisdictions and applicable legislation in the region, panelists will then discuss lessons learned and how governments and investors can be aligned to support hydrocarbon exploration. How are different countries reacting with legislation and incentives to preserve their investment appeal in the face of low commodity prices? How are major companies moving their chess pieces in response? Special emphasis will be placed on our host country—Ecuador.

MÓNICA JIMÉNEZ GONZÁLEZ (Moderator), Secretary General, Ecopetrol S.A., Bogotá

ANDREW B. DERMAN, Partner, Thompson & Knight LLP, Dallas, TX

MARTÍN AÑEZ REA, Latin America Legal Director, REPSOL, Madrid

JAIME ZALDUMBIDE-SERRANO, Partner, Pérez Bustamante & Ponce, Quito

Thursday Evening Quito Host Committee Reception for All Delegates

Join us for a not-to-be-missed evening in the heart of Quito’s historic Old City hosted by our wonderful Quito Host Committee law firms. The venue is the beautiful 16th-century Santo Domingo Church and Monastery—enjoy cocktails, hors-d’oeuvres, a concert, and even a dance performance! Buses will leave the Swissôtel promptly at 6:15pm.

DAY 3: Friday, April 28, 2017 - Environment & Sustainability

9:00 am – 10:00 am: Indigenous Rights in South America—A Comparison of Legal Regimes

Authors of the book “Indigenous Rights in South America: FPIC and Other Key Issues,” published by the Rocky Mountain Mineral Law Foundation in 2016, will provide a panel discussion with a Q&A format that addresses the topics most relevant for extractive industries across Latin America, such as land rights and environmental approvals.

KEVIN O'CALLAGHAN (Moderator), Partner, Fasken Martineau DuMoulin LLP, Vancouver

JUAN SONODA (Moderator), Partner, Beretta Godoy, Buenos Aires

GONZALO GREZ, Partner, Cariola Díez Pérez-Cotapos & Cía, Ltda., Santiago

MARTA MARTÍNEZ, Associate, Vouga Abogados, Asunción

ALEJANDRO PEMINTEL ECHENIQUE, Ferrere, La Paz

URSULA ZAVALA CARLIN, Of Counsel, Rodrigo, Elias & Medrano Abogados, Lima

GUILLERMO TEJEIRO GUTIÉRREZ, Associate, Brigard & Urrutia Abogados S.A.S., Bogotá

10:00 am – 11:00 am: An Evolving Landscape of Priorities for Species, Habitats, and Biodiversity

Increasingly, focus is being placed on the intrinsic value of the natural world and species. An evolution of terminology and scale is occurring that redefines nomenclature such as “critical habitat” and expands the benchmarks of analyses employed, moving from a habitat to an ecosystem-based approach. Terms such as “net conservation gain” have found their way into a multitude of regulations currently being promulgated to herald a new era of mitigation and offsets where age-old business standards will change and greater transparency will be required. This presentation examines and compares efforts in Latin America to apply the “mitigation hierarchy” that involves avoiding, minimizing, and compensating for impacts, as well as a mitigation standard of “net conservation gain.” This presentation also describes U.S. laws, regulations, and landscape-scale analyses, in addition to lending standards from groups such as the International Finance Corporation (IFC), that incorporate these concepts and will affect resource extraction, including oil and gas development.

DAWN G. MEIDINGER (Moderator), Director, Fennemore Craig, P.C., Phoenix, AZ

PATRICIO LEYTON, Partner, Ferrada Nehme Ltda., Santiago

NICHOLAS L. OWENS, Senior HSE Representative, Anadarko Petroleum Corporation, Houston, TX

KATHLEEN C. SCHRODER, Partner, Davis Graham & Stubbs LLP, Denver, CO

11:00 am – 11:30 am: Hosted Refreshment Break

11:30 am – 12:30 pm: Artisanal and Small-Scale Mining—Building and Implementing an Effective Legal Framework

Mining industries in Latin America and across the world are often dominated by artisanal and small-scale mining (ASM) operations, which are marred by myriad health, social, economic, and environmental problems. Even with significant economic and social contributions, these operations are often considered illegal because they operate informally, outside legal frameworks. While approaches to addressing problems resulting from ASM have varied, scholars recognize that legalization of ASM is a fundamental condition for a legitimate, stable, and responsible ASM sector. This presentation will analyze and discuss approaches in Colombia, Ecuador, and Peru to address problems resulting from ASM. Learning from these approaches, the speakers will recommend actions to build and implement an effective legal framework to formalize ASM in these countries and others, with the goal of establishing ASM as a safe, healthy, and environmentally responsible means of livelihood, as well as an opportunity to create jobs and reduce poverty.

RACHEL PERKS (Moderator), Senior Mining Specialist, World Bank, Washington, DC

ANDREW A. IRVINE, Fulbright U.S. Scholar to Ecuador; Andrew A. Irvine, P.C., Jackson, WY

ALVARO ORDÓÑEZ, Partner, Ferrere, Quito

MARCELLO M. VEIGA, Professor, University of British Columbia, Vancouver

12:30 pm – 1:30 pm: Crucial Factors in Building, Maintaining, and Updating a Global Compliance Program in the Resource Industry

In times of decreased commodity prices, there is increased pressure to accomplish more with less. This session will provide insight from external advisors and in-house experts on how to overcome compliance challenges and where to get the best compliance “bang for your buck.” Panelists will address challenges resource companies have faced in implementing and maintaining a compliance program; examples of strategies that have worked (and not worked) to address those challenges; how to effectively win the hearts and minds of senior management; how to implement a compliance culture in a business friendly manner; adapting a compliance program to different cultures with different business practices; and current best practices regarding facilitation payments, risk assessments, training, and gifts and entertainment.

SCOT W. ANDERSON (Moderator), Partner, Hogan Lovells US LLP, Denver, CO

MICHAEL DIXON, Partner, Blake, Cassels & Graydon LLP, Calgary

BILL MARQUARDT, Director, Berkeley Research Group, LLC, Miami

GUILHERME MEISTER, Partner, Ernst & Young, LLP, Sao Paulo

1:30 pm: Adjournment

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Benefits: Welcome juice, Swiss bedding (duvet), coffee & tea bar in the room, office supplies (clips, notebook, eraser, pencil), daily newspaper, full breakfast buffet, internet access, newspaper, Amrita Spa: swimming pool, gym, steam bath, jacuzzi. separate women and men relaxing areas.

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Benefits: Welcome juice, coffee & tea bar in the room, internet access, full breakfast buffet, lunch buffet, appetizers buffet in the afternoon, dinner buffet, open bar 20h30 to 22h30 in our Presidential Club Lounge, daily newspaper, Amrita Spa: swimming pool, gym, steam bath, jacuzzi, separate women and men relaxing areas.

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